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PTO/SB/30 (09-04)

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# Request for Continued Examination (RCE) Transmittal

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/521,641
Filing Date	03/08/2000
First Named Inventor	FREED, Adrian
Art Unit	2644
Examiner Name	GRAHAM, Andrew R.
Attorney Docket Number	UCB-11/RCE(B96-033-2)

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/ Declaration(s)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☒ Other Petition for Extension of Time (in duplicate), cover letter (in duplicate) & postcard

## 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. \_\_\_\_\_ I have enclosed a duplicate copy of this sheet.
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other any deficiency \_\_\_\_\_
- b. ☒ Check in the amount of \$ 905.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Peter L. Michaelson</i>	Date	January 17, 2005
Name (Print/Type)	Peter L. Michaelson	Registration No.	30,090

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	<i>Peter L. Michaelson</i>	Date	January 18, 2005
Name (Print/Type)	Peter L. Michaelson		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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01/26/2005 HAL111 00000060 09521641

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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicants: Adrian FREED, Todd HODES

Atty. Doc.: UCB11(B96-033-2)

Serial No. 09/521,641

Filed: March 8, 2000

Group Art Unit: 2644

Confirmation No.: 1451

Examiner: Andrew R. GRAHAM

Title: APPARATUS AND METHOD OF ADDITIVE SYNTHESIS OF  
DIGITAL AUDIO SIGNALS USING A RECURSIVE DIGITAL  
OSCILLATOR

Mail Stop RCE  
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S I R:

AMENDMENT ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION

In response to the Office Action dated July 16, 2004 (Paper Number/Mail Date 16), and pursuant to the Request for Continued Examination submitted herewith under 37 CFR § 1.114, please amend the above-identified application as described herein.

Amendments to the specification begin on page 3 of this paper.

Amendments to the claims are reflected in the listing of claims which begins on page 4 of this paper.

Appl. No. 09/521,641

Amdt. dated Jan. 14, 2005

Reply to Office action of July 16, 2004

Remarks and arguments begin on page 9 of this  
paper.